

S/N 09/911,839



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David B. Kay et al.	Examiner:	Clement B Graham
Serial No.:	09/911,839	Group Art Unit:	3628
Filed:	July 23, 2001	Docket:	1546.007US1
Title:	SYSTEM AND METHOD FOR MEASURING THE QUALITY OF INFORMATION RETRIEVAL		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Please charge the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) to Deposit Account No. 19-0743. Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

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03 FC:1806 180.00 DA

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

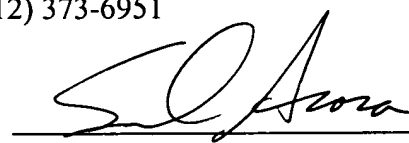
DAVID B. KAY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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Date Sept. 2, 2004

By



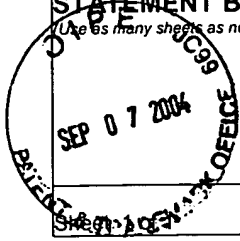
Suneel Arora
Reg. No. 42,267

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 3 day of September, 2004.

Name CINA OPNUS

Signature Suneel Arora

Substitute for form 1449A/PTO
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Use as many sheets as necessary)



Complete if Known

Application Number	09/911,839
Filing Date	July 23, 2001
First Named Inventor	Kay, David
Group Art Unit	3628
Examiner Name	Graham, Clement

Attorney Docket No: 1546.007US1

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2002/0027567 A1	03/07/2002	Niamir, B. B.	345	738	04/03/2001
	US-2002/0169834 A1	11/14/2002	Miloslavsky, A. , et al.	709	206	05/05/2000
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	US-5,754,938	05/19/1998	Herz, Frederick S., et al.	455	4.2	10/31/1995
	US-6,006,218	12/21/1999	Breese, J. S., et al.	707	3	02/28/1997
	US-6,347,313	02/12/2002	Ma, W. , et al.	707	3	03/01/1999
	US-6,347,317	02/12/2002	Singhal, A. K.	707	10	10/02/2000
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	US-6,460,029	10/01/2002	Fries, K. , et al.	707	3	12/23/1998
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	US-6,581,056	06/17/2003	Rao, R. B.	707	5	06/27/1996
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	US-6,732,088	05/04/2004	Glance, N. S.	707	3	12/14/1999

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

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Title: SYSTEM AND METHOD FOR MEASURING THE QUALITY OF
INFORMATION RETRIEVAL

COMMUNICATION CONCERNING RELATED APPLICATION(S)

Commissioner for Patents
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Applicants would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
09/594083 6711585	June 15, 2000	1546.001US1	SYSTEM AND METHOD FOR IMPLEMENTING A KNOWLEDGE MANAGEMENT SYSTEM
09/798964	March 6, 2001	1546.002US1	A SYSTEM AND METHOD FOR PROVIDING AN INTELLIGENT MULTI-STEP DIALOG WITH A USER
10/004264	October 31, 2001	1546.009US1	DEVICE AND METHOD FOR ASSISTING KNOWLEDGE ENGINEER IN ASSOCIATING INTELLIGENCE WITH CONTENT
09/911841	July 23, 2001	1546.010US1	ADAPTIVE INFORMATION RETRIEVAL SYSTEM AND METHOD
09/912247	July 23, 2001	1546.011US1	SYSTEM AND METHOD FOR PROVIDING A LINK RESPONSE TO INQUIRY
09/944636	August 31, 2001	1546.012US1	USE BASED RANKING FOR INFORMATION RETRIEVAL SYSTEM
10/610994	July 1, 2003	1546.001US3	SYSTEM AND METHOD FOR IMPLEMENTING A KNOWLEDGE MANAGEMENT SYSTEM

COMMUNICATION CONCERNING RELATED APPLICATIONS

Serial Number: 09/911,839

Filing Date: July 23, 2001

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Dkt: 1546.007US1

10/889888

July 13,
2004

1546.002US2

A SYSTEM AND METHOD FOR
PROVIDING AN INTELLIGENT
MULTI-STEP DIALOG WITH A USER

Respectfully submitted,

DAVID B. KAY ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6951

Date Sept. 2, 2004

By



Suneel Arora

Reg. No. 42,267

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 3 day of September, 2004.

GWA Uphus
Name

GWA Uphus
Signature